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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|----------------------|------------------------------|----------------------|---------------------|------------------|
| 10/626,931 | 07/25/2003 | Dean A. Klein | 54459-277675 | 5405 |
| 25764 FAEGRE & BI | 7590 03/23/200 ENSON LLP | EXAMINER | | |
| PATENT DOC | | JASANI, ASHISH S | | |
| | FARGO CENTER VENTH STREET | ART UNIT | PAPER NUMBER | |
| | S, MN 55402-3901 | 3737 | | |
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| SHORTENED STATUTOR | Y PERIOD OF RESPONSE | MAIL DATE | DELIVERY MODE | |
| 3 MO | NTHS | 03/23/2007 | PAF | PER |

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

| | | | | • | | |
|------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|---|--|--|
| | | Application No. | Applicant(s) | | | |
| Office Action Summary | | 10/626,931 | KLEIN ET AL. | | | |
| | | Examiner | Art Unit | | | |
| | | Ashish S. Jasani | 3737 | | | |
| Period fo | The MAILING DATE of this communication app or Reply | ears on the cover sheet with the c | orrespondence address | | | |
| WHIC - Exte after - If NC - Failu Any | ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DATE of time may be available under the provisions of 37 CFR 1.1 SIX (6) MONTHS from the mailing date of this communication. Depriod for reply is specified above, the maximum statutory period were to reply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailing ed patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE | N. nely filed the mailing date of this communication. (D) (35 U.S.C. § 133). | | | |
| Status | | | | | | |
| 1) | Responsive to communication(s) filed on 31 O | ctober 2006. | | | | |
| 2a) | This action is FINAL . 2b)⊠ This action is non-final. | | | | | |
| 3) | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | |
| | closed in accordance with the practice under E | Ex parte Quayle, 1935 C.D. 11, 45 | 53 O.G. 213. | | | |
| Disposit | ion of Claims | | | | | |
| 4)⊠ | Claim(s) 1-78 is/are pending in the application. | | • | | | |
| | 4a) Of the above claim(s) is/are withdraw | vn from consideration. | | | | |
| 5) | Claim(s) is/are allowed. | | | | | |
| · | Claim(s) <u>1-78</u> is/are rejected. | | | | | |
| | Claim(s) is/are objected to. | | | | | |
| 8)[_] | Claim(s) are subject to restriction and/o | r election requirement. | | | | |
| Applicat | ion Papers | | | | | |
| 9)[| The specification is objected to by the Examine | r. | | | | |
| 10)⊠ | The drawing(s) filed on 25 July 2003 is/are: a)[| \square accepted or b) $oxtimes$ objected to b | by the Examiner. | | | |
| | Applicant may not request that any objection to the | drawing(s) be held in abeyance. See | e 37 CFR 1.85(a). | | | |
| — | Replacement drawing sheet(s) including the correct | • • • • • • • • • • • • • • • • • • • • | | • | | |
| 11) | The oath or declaration is objected to by the Ex | aminer. Note the attached Office | Action or form PTO-152. | | | |
| Priority (| under 35 U.S.C. § 119 | | | | | |
| | Acknowledgment is made of a claim for foreign ☐ All b) ☐ Some * c) ☐ None of: | priority under 35 U.S.C. § 119(a) |)-(d) or (f). | | | |
| | 1. Certified copies of the priority document | s have been received. | | | | |
| | 2. Certified copies of the priority document | s have been received in Applicati | on No | | | |
| | 3. Copies of the certified copies of the prior | rity documents have been receive | ed in this National Stage | | | |
| | application from the International Bureau | | | | | |
| * 5 | See the attached detailed Office action for a list | of the certified copies not receive | ∌d. | | | |
| | • | • | | | | |
| | 44-1 | | | | | |
| Attachmen | t(s) e of References Cited (PTO-892) | 4) Interview Summary | (PTO-413) | | | |
| 2) Notic | e of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Da | ate | | | |
| | mation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date | 5) Notice of Informal P 6) Other: | atent Application | | | |

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DETAILED ACTION

Response to Arguments

1. Applicant's arguments with respect to claims 1-78 have been considered but are most in view of the new ground(s) of rejection.

The office introduces McCrory et al. (US 2001/0004395) which teaches of a permanent fiducial marker.

2. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the elements of claims 1-78 must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner,

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the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 1-32, and 42-78 are rejected under 35 U.S.C. 103(a) as being unpatentable over Klein (USPN 6394965) in further view of McCrory et al. (US 2001/0004395)

With regards to claims 1, 2, 4-13, 22, 23, 24, 27, 42, 46-48, 49-56, 70, and 77; Klein teaches of tissue marking using microparticles (abstract). Klein teaches of "implanting at least one permanent marker" such that "delivery of microparticles using a needle and syringe allows very precise delivery of microparticle markers to a desired tissue site, this is particularly true if a biopsy probe used to perform a biopsy is used to assist delivery of microparticles for tissue marking, without first moving the biopsy sheath" (column 2, lines 54-59). Klein teaches of imaging such that "the detectable component, e.g., contrast-enhancing agent, can be any material capable of enhancing contrast in a desired imaging modality (e.g. magnetic resonance, X-ray, ultrasound, magnetotomography, electrical impedance imaging, light imaging (e.g. confocal

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microscopy and fluorescence imaging) and nuclear imaging (e.g. scintigraphy, SPECT and PET))" (column 4, lines 6-13). Klein teaches of treating the site such that "the invention provides methods of marking tissue for any reason, such as to mark the site of the removal of a tissue, e.g., the removal of a polyp from a colon or rectum; to mark the site of a biopsy, including a breast biopsy, a prostate biopsy, a colon biopsy, a rectum biopsy; or to mark the site of any other medical procedure or removal of tissue or biopsy at another tissue location" (column 3, lines 52-58). Klein teaches that the contrast enhancement can be for a number of imaging modalities, but does not teach that the contrast agent is multimodal.

McCrory et al. teaches of an implantable, permanent fiducial marker (abstract).

McCrory teaches that the fiducial marker is a multi-modal marker (¶ 10). McCrory teaches that the marker is imageable via MRI, CT, and Ultrasound (¶ 34).

It would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains to combine the Klein biopsy marking method with the McCrory et al. multimodal contrast agent to enhance diagnosis with multiple imaging modalities and to register the image (¶ 6-8).

With regards to claim 3, McCrory teaches of not using solid metal (Claim 20) for it will cause unwanted distortion (¶ 35).

With regards to claims 14, 17-19, 21, and 25; Klein teaches of monitoring such that "The tissue may be marked for any reason, for example to return to the same tissue site to monitor the progress of a medical condition or a treatment, or to perform a subsequent biopsy at the same site" (column 3, lines 58-62).

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With regards to claims 15, 16, and 26; Klein teaches of mapping and radiation therapy such that "the tissue may be marked to provide a target for radiation treatment, i.e., detectable microparticles can be delivered to a tissue site to act as a target at which or near a beam of radiation can be precisely directed" (column 3, lines 62-65).

With regards to claim 20, Klein teaches of guiding such that "the tissue may be marked for any reason, for example to return to a the same tissue site to monitor the progress of a medical condition or a treatment, or to perform subsequent biopsy" (column 3, lines 58-61).

With regards to claim 28, McCrory et al. teaches of MRI, CT, and ultrasound which can all be electronic portal imaging; McCrory et al. teaches of CT or X-ray which can be portal film imaging.

With regards to claims 29-32 and 78, Klein teaches of a "biologically active agent" of beta-glucan such that "the microparticles can be delivered using a fluid carrier, which can be any biologically compatible material capable of delivering the microparticles to a desired tissue site, such as a biologically compatible suspension, solution, or other form of a fluid or gel" (column 2, lines 41-45. Klein goes on to teach of the carrier being that of beta-glucan (column 8, lines 58-61).

With regards to claim 33-34, McCrory et al. teaches of multi-modal image registration (¶ 6-8).

With regards to claim 35-41, Klein teaches of performing radiation therapy (column 3, lines 62-65). McCrory et al. teaches of radiation therapy such that "A permanently implanted marker allows comparison of scans over time for follow-up

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therapy (for example, to make lesion volume comparisons in order to monitor growth). It also allows fractionated radiotherapy, in which small doses of radiation are administered frequently over the course of treatment" (¶ 11).

With regards to claim 42, Klein teaches of implanting a marker (column 2, lines 10-23), imaging from a number of modalities (column 3, lines 34-41), and treatment (column 3, lines 51-65).

With regards to claim 43-44, Klein teaches of monitoring the treatment site such that "the tissue may be marked for any reason, for example to return to a the same tissue site to monitor the progress of a medical condition or a treatment, or to perform subsequent biopsy" (column 3, lines 58-61). A biopsy is a removal of tissue.

With regards to claim 45, Klein teaches of breast biopsy (column 3, line 54) in which it is well known in the art that it can be imaged via MRI, CT or X-Ray, and Ultrasound (¶ 34).

With regards to claim 57-59, Klein et al. teaches of aluminum oxide and zirconium oxide (column 2, lines 37-40).

With regards to claims 60-63 and 73, Klein teaches of a biocompatible pyrolytic carbon surface (abstract).

With regards to claim 64-66, Klein teaches that the particles can have a size of 1000 microns (column 2, lines 17).

With regards to claims 67-68, McCrory teaches of a tube (Figure 1b).

With regards to claim 69, McCrory teaches of a liquid reservoir (¶ 34).

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With regards to claim 71, Klein teaches that the particle can be radiopaque (column 2, line 21).

With regards to claim 72, Klein teaches of additional material such that "Preferred paramagnetic metals include Gd (III), Dy (III), Fe (II), Fe (III), Mn (III) and Ho (III), and paramagnetic Ni, Co and Eu species. Preferred heavy metals include Pb, Ba, Ag, Au, W, Cu, Bi and lanthanides such as Gd, etc." (column 4, lines 57-60).

With regards to claim 74-76, Klein teaches of a beta-glucan suspension carrier which is biocompatible and derived from cell walls (column 8, lines 45-47).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ashish S. Jasani whose telephone number is 571-272-8025. The examiner can normally be reached on Mon. - Fri. 9:30 am - 3:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brian Casler can be reached on (571) 272 - 4956. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

ASJ

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